## Personnel Function Report Executive Summary

In compliance with N.C. General Statute 126-6.2, the State Personnel Commission is required to report on certain personnel functions to the Joint Legislative Commission on Governmental Operations.

## 1. Workforce Representation:

The State of North Carolina reported 87,965 full-time, permanent SPA employees as of December 31, 2012. This represents an increase of 230 ( $0.3 \%$ ) employees from 2011, and a decrease of 2,985 (-3.3\%) employees since 2010. For statistical purposes, employees reporting "ethnicity unknown" have been included in the overall total in 2012.

| Workforce | White <br> Males | White <br> Females | Black <br> Males | Black <br> Females | Other <br> Minority <br> Males | Other <br> Minority <br> Females | Eth. <br> Unk. | TOTAL | Disability |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | 25,416 | 17,218 | 8,118 | 12,462 | 1,550 | 1,148 | 218 | 66,130 | 1,144 |
|  | $\mathbf{3 8 . 4 \%}$ | $\mathbf{2 6 . 0 \%}$ | $\mathbf{1 2 . 3 \%}$ | $\mathbf{1 8 . 8 \%}$ | $\mathbf{2 . 3 \%}$ | $\mathbf{1 . 7 \%}$ | $\mathbf{0 . 3 \%}$ |  |  |
| University | 6,368 | 8,260 | 1,888 | 3,316 | 610 | 941 | $\mathbf{4 5 2}$ | 21,835 | 93 |
|  | $\mathbf{2 9 . 2 \%}$ | $\mathbf{3 7 . 8 \%}$ | $\mathbf{8 . 6 \%}$ | $\mathbf{1 5 . 2 \%}$ | $\mathbf{2 . 8 \%}$ | $\mathbf{4 . 3 \%}$ | $\mathbf{2 . 1 \%}$ |  |  |
| TOTAL | 31,784 | 25,478 | 10,006 | 15,778 | 2,160 | 2,089 | 670 | 87,965 | 1,237 |
|  | $\mathbf{3 6 . 1 \%}$ | $\mathbf{2 9 . 0 \%}$ | $\mathbf{1 1 . 4 \%}$ | $\mathbf{1 7 . 9 \%}$ | $\mathbf{2 . 4 \%}$ | $\mathbf{2 . 4 \%}$ | $\mathbf{0 . 8 \%}$ |  |  |
| Previous | 31,851 | 25,482 | 10,192 | 16,128 | 2,089 | 1,993 |  | 87,735 |  |
| Total -2011 | $\mathbf{3 6 . 3 \%}$ | $\mathbf{2 9 . 0 \%}$ | $\mathbf{1 1 . 6 \%}$ | $\mathbf{1 8 . 4 \%}$ | $\mathbf{2 . 4 \%}$ | $\mathbf{2 . 3 \%}$ |  |  |  |
| Previous | 33,001 | 26,768 | 10,476 | 16,548 | 2,120 | 2,037 |  | 90,950 |  |
| Total -2010 | $\mathbf{3 6 . 3 \%}$ | $\mathbf{2 9 . 4 \%}$ | $\mathbf{1 1 . 5 \%}$ | $\mathbf{1 8 . 2 \%}$ | $\mathbf{2 . 3 \%}$ | $\mathbf{2 . 2 \%}$ |  |  |  |

Total State Representation by Demographic Group (2012)

-White Males
$\square$ White Females
-Black Males
-Black Female
■Other Minority Males
-Other Minority Females

North Carolina State Government cabinet and council of state agencies employed 66,130 employees as of December 31, 2012. This accounted for $75 \%$ of the total 87,965 employees. North Carolina State Government universities employed 21,835 employees as of December 31, 2012. This accounted for $25 \%$ of the total 87,965 employees.

The employees labeled as 'other minority males' and 'other minority females' include Hispanic, American Indian and Asian employees. Overall, these employees represented $4.8 \%$ of the entire workforce or 4,249 employees (an increase of 167 since 2011). Within the agency workforce, American Indian employees represented the largest 'other minority group', although only $0.1 \%$ larger than Asian employees. Within the university workforce, Asian employees represented the largest 'other minority group'. Specifically, these groups represented the following:

| Other <br> Minority <br> Workforce | Hispanic <br> Males | Hispanic <br> Females | Asian <br> Males | Asian <br> Females | American <br> Indian <br> Males | American <br> Indian <br> Females | TOTAL |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | 431 | 358 | 487 | 465 | 632 | 325 | 2,698 |
|  | $\mathbf{1 6 . 0 \%}$ | $\mathbf{1 3 . 3 \%}$ | $\mathbf{1 8 . 1 \%}$ | $\mathbf{1 7 . 2 \%}$ | $\mathbf{2 3 . 4 \%}$ | $\mathbf{1 2 . 0 \%}$ |  |
| University | 196 | 300 | 289 | 441 | 125 | 200 | 1,551 |
|  | $\mathbf{1 2 . 6 \%}$ | $19.3 \%$ | $\mathbf{1 8 . 6 \%}$ | $\mathbf{2 8 . 4 \%}$ | $\mathbf{8 . 1 \%}$ | $\mathbf{1 2 . 9 \%}$ |  |
| TOTAL | 627 | 658 | 776 | 906 | 757 | 525 | 4,249 |
|  | $\mathbf{1 4 . 8 \%}$ | $\mathbf{1 5 . 5 \%}$ | $\mathbf{1 8 . 3 \%}$ | $\mathbf{2 1 . 3 \%}$ | $\mathbf{1 7 . 8 \%}$ | $\mathbf{1 2 . 4 \%}$ |  |

Total Representation of Other Minority Groups in Detail (2012)


| $\square$ Hispanic Males |
| :--- |
| $\square$ Hispanic Females |
| $\square$ Asian Males |
| $\square$ Asian Females |
| $\square$ American Indian Males |
| $\square$ American Indian Females |

The following chart details the change in total workforce representation since 2002. Beginning in 2002 through 2008, the trend line for state employment indicates a basic pattern of increasing numbers of SPA employees in both the agency and university workforces. With the exception of the agency workforce in 2005, all points on the line indicate an increase in employees through 2008.

In 2009 through 2011, the State of North Carolina experienced an economic crisis that resulted in a hiring freeze as well as a reduction-in-force throughout state government. As a result, both the agency and university workforce totals decreased. The impact of the reduction in the number of agency and university employees was a corresponding reduction in the total number of employees. In 2012, the employment figures remained fairly static to the totals in 2011, with a small decrease (-107) in agency employment totals and a slight increase (+337) in university employment totals.

## Workforce Representation (2002-2012)



## 2. New Hires:

A new hire or new appointment is the initial employment of an individual to a position or the reemployment of an individual who is either not eligible for reinstatement or, at the agency's option, is not offered reinstatement. The State of North Carolina hired 7,550 new full-time, permanent SPA employees during calendar year 2012, an increase of $2,593(52.3 \%)$ employees from 2011.

| New Hires | White <br> Males | White <br> Females | Black <br> Males | Black <br> Females | Other <br> Minority <br> Males | Other <br> Minority <br> Females | Ethnicity <br> Unknown | TOTAL |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | 1,485 | 1,107 | 662 | 1,116 | 141 | 129 | 118 | 4,758 |
|  | $\mathbf{3 1 . 2 \%}$ | $\mathbf{2 3 . 3 \%}$ | $\mathbf{1 3 . 9 \%}$ | $\mathbf{2 3 . 5 \%}$ | $\mathbf{2 . 9 \%}$ | $\mathbf{2 . 7 \%}$ | $\mathbf{2 . 5 \%}$ |  |
| University | 702 | 1,009 | 200 | 365 | 81 | 123 | 312 | 2,792 |
|  | $\mathbf{2 5 . 1 \%}$ | $\mathbf{3 6 . 1 \%}$ | $\mathbf{7 . 2 \%}$ | $\mathbf{1 3 . 1 \%}$ | $\mathbf{2 . 9 \%}$ | $\mathbf{4 . 4 \%}$ | $\mathbf{1 1 . 2 \%}$ |  |
| TOTAL | 2,187 | 2,116 | 862 | 1,481 | 222 | 252 | 430 | 7,550 |
|  | $\mathbf{2 9 . 0 \%}$ | $\mathbf{2 8 . 0 \%}$ | $\mathbf{1 1 . 4 \%}$ | $\mathbf{1 9 . 6 \%}$ | $\mathbf{2 . 9 \%}$ | $\mathbf{3 . 3 \%}$ | $\mathbf{5 . 7 \%}$ |  |
| Previous | 1,574 | 1,324 | 708 | 1,043 | 162 | 146 | N/A | 4,957 |
| Total - 2011 | $\mathbf{3 1 . 8 \%}$ | $\mathbf{2 6 . 7 \%}$ | $\mathbf{1 4 . 3 \%}$ | $\mathbf{2 1 . 0 \%}$ | $\mathbf{3 . 3 \%}$ | $\mathbf{2 . 9 \%}$ |  |  |

Other minority males and females include Hispanic, American Indian and Asian employees. Specifically, these groups represent the following:

| Other <br> Minority <br> New Hires | Hispanic <br> Males | Hispanic <br> Females | Asian <br> Males | Asian <br> Females | American <br> Indian <br> Males | American <br> Indian <br> Females | TOTAL |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | 56 | 50 | 35 | 47 | 50 | 32 | 270 |
|  | $20.7 \%$ | $\mathbf{1 8 . 5 \%}$ | $13.0 \%$ | $\mathbf{1 7 . 4 \%}$ | $\mathbf{1 8 . 5 \%}$ | $\mathbf{1 1 . 9 \%}$ |  |
| University | 26 | 42 | 46 | 68 | 9 | 13 | 204 |
|  | $12.7 \%$ | $20.6 \%$ | $22.5 \%$ | $\mathbf{3 3 . 3 \%}$ | $\mathbf{4 . 4 \%}$ | $\mathbf{6 . 4 \%}$ |  |
| TOTAL | 82 | 92 | 81 | 115 | 59 | 45 | 474 |
|  | $\mathbf{1 7 . 3 \%}$ | $19.4 \%$ | $\mathbf{1 7 . 1 \%}$ | $\mathbf{2 4 . 3 \%}$ | $\mathbf{1 2 . 4 \%}$ | $\mathbf{9 . 5 \%}$ |  |

Number of New Hires in State Government - 2004 to Present:

| Year | Number of New <br> Hires | Number of <br> Employees | Percent |
| :---: | :---: | :---: | :---: |
| 2004 | 11,144 | 86,110 | $12.9 \%$ |
| 2005 | 10,199 | 86,504 | $11.8 \%$ |
| 2006 | 11,207 | 88,917 | $12.6 \%$ |
| 2007 | 9,949 | 90,894 | $10.9 \%$ |
| 2008 | 7,133 | 93,542 | $7.6 \%$ |
| 2009 | 3,708 | 91,543 | $4.1 \%$ |
| 2010 | 6,958 | 90,950 | $7.7 \%$ |
| 2011 | 4,957 | 87,735 | $5.6 \%$ |
| 2012 | 7,550 | 87,965 | $8.6 \%$ |

## 3. Compensation Levels:

This section of the report analyzes salary distributions in 10 categories ranging from salary grades 50-54 through 85 and higher, non-graded and t-graded classifications.

## AGENCY:

Overall, employees in salary grade range 60-64 consisted of the highest level of representation, comprising 27.7\% of the workforce. Employees in non-graded (mostly Career-Banded) classifications consisted of the second highest percentage of employees representing $26.7 \%$ of the agency workforce.

Total Agency Workforce by Salary Grade Range (2010, 2011, 2012)


NOTE:

1. T-grades are assigned specific salary grades, with corresponding minimum and maximum ranges.

Demographic Analysis: Employees were concentrated in different salary grades with black males and black females representing a significant percentage of employees at the lower salary grades.

Percentage of Representation by Salary Grade (Agency)

|  | Highest Percentage of <br> Representation | $\mathbf{2}^{\text {nd }}$ Highest Percentage <br> of Representation | $\mathbf{3}^{\text {rd }}$ Highest Percentage <br> of Representation |
| :--- | :--- | :--- | :--- |
| White Male | Banded (53.6\%) | Grades 85+(48.76\%) | Grades 80-84 (48.75\%) |
| White Female | Grades 75-79 (38.6\%) | Grades 70-74(38.48\%) | Grades 55-59 (38.1\%) |
| Black Male | Grades 50-54 (21.5\%) | Grades 60-64(18.9\%) | Grades 55-59 (11.2\%) |
| Black Female | Grades 55-59 (36.9\%) | Grades 50-54 (33.3) | Grades 60-64 (22.6\%) |

In the following chart, average salary is analyzed by demographic group and salary grade range. In this analysis, white and black males received the highest average salaries in salary grade range $85+$, the highest in state government. In grades $80-84$, white males and black females earned the highest average salaries.

## Average Salary by Demographic Group (Agency)



NOTE: Jobs in T-grades and/or banded classifications can range from traditional SG $50-85$. For this reason, employees in this pay structures have not been included in the average salary chart. They are included in the detailed analysis on the following page.

## Employees by Grade Range and Demographic Group (Agency)

| Cal Month/Year | DEC 2012 |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | WM | WF | BM | BF | TOT MNRT | $\begin{aligned} & \text { TOT } \\ & \text { FEM } \end{aligned}$ | DISB |
| GR 50-54 | 173 | 292 | 247 | 382 | 679 | 700 | 33 |
| \% Represented | 15.057 | 25.413 | 21.497 | 33.246 | 59.095 | 60.923 | 2.872 |
| Average Salary | 24,737 | 24,471 | 24,846 | 24,887 | 24,826 | 24,664 | 25,561 |
| GR 55-59 | 958 | 3,286 | 968 | 3,193 | 4,369 | 6,632 | 148 |
| \% Represented | 11.098 | 38.068 | 11.214 | 36.990 | 50.614 | 76.830 | 1.715 |
| Average Salary | 27,570 | 29,276 | 27,077 | 27,738 | 27,602 | 28,509 | 28,801 |
| GR 60-64 | 6,639 | 3,272 | 3,469 | 4,135 | 8,341 | 7,702 | 323 |
| \% Represented | 36.301 | 17.891 | 18.968 | 22.609 | 45.607 | 42.113 | 1.766 |
| Average Salary | 31,311 | 33,076 | 30,998 | 31,288 | 31,114 | 32,045 | 31,709 |
| GR 65-69 | 4,247 | 2,805 | 1,093 | 1,787 | 3,253 | 4,755 | 206 |
| \% Represented | 41.077 | 27.130 | 10.572 | 17.284 | 31.463 | 45.991 | 1.992 |
| Average Salary | 42,803 | 40,206 | 40,301 | 39,011 | 39,583 | 39,695 | 40,600 |
| GR 70-74 | 2,247 | 2,257 | 365 | 783 | 1,350 | 3,150 | 95 |
| \% Represented | 38.312 | 38.483 | 6.223 | 13.350 | 23.018 | 53.708 | 1.620 |
| Average Salary | 52,544 | 51,062 | 51,454 | 49,549 | 50,185 | 50,644 | 53,067 |
| GR 75-79 | 740 | 686 | 94 | 195 | 348 | 912 | 34 |
| \% Represented | 41.643 | 38.604 | 5.290 | 10.974 | 19.584 | 51.322 | 1.913 |
| Average Salary | 67,750 | 67,912 | 66,989 | 66,589 | 66,518 | 67,536 | 66,585 |
| GR 80-84 | 234 | 165 | 20 | 44 | 77 | 214 | 3 |
| \% Represented | 48.750 | 34.375 | 4.167 | 9.167 | 16.042 | 44.583 | 0.625 |
| Average Salary | 86,978 | 81,882 | 85,498 | 85,827 | 85,233 | 82,682 | 86,531 |
| GR 85+ | 138 | 98 | 12 | 28 | 47 | 132 | 2 |
| \% Represented | 48.763 | 34.629 | 4.240 | 9.894 | 16.608 | 46.643 | 0.707 |
| Average Salary | 101,205 | 97,236 | 102,156 | 93,440 | 95,056 | 95,965 | 98,329 |
| T-Grade | 447 | 441 | 126 | 305 | 458 | 757 | 32 |
| \% Represented | 33.136 | 32.691 | 9.340 | 22.609 | 33.951 | 56.116 | 2.372 |
| Average Salary | 55,007 | 56,317 | 49,068 | 42,857 | 45,257 | 50,795 | 37,916 |
| Banded | 9,458 | 3,826 | 1,696 | 1,583 | 4,247 | 5,737 | 259 |
| \% Represented | 53.641 | 21.699 | 9.619 | 8.978 | 24.087 | 32.537 | 1.469 |
| Average Salary | 50,510 | 56,623 | 43,479 | 51,865 | 49,788 | 55,484 | 51,320 |

NOTE: Due to the inclusion of average salary, other minority males and females did not generate statistically significant percentages due to low levels of representation by salary grade. They are included in the total minority analysis.

Non-Graded/Banded Classifications: State agencies had 17,632 employees in nongraded classifications. This figure represents a small increase in non-graded employees since 2011.

- $2007-12,914$
- 2008-13,897
- 2009-18,458
- 2010-18,558
- 2011-17,553
- 2012-17,632

The Career-Banded (non-graded) compensation system in the State of North Carolina collapsed existing classifications into broad classifications that are grouped into Job Families as are the graded classifications. Job Families are not managed by unique salary minimums and maximums, but the broad Career-banding classifications have minimums and maximums unique to them. The ten Job Families include:

1. Administrative and Managerial
2. Information Technology
3. Law Enforcement and Public Safety
4. Information and Education
5. Human Services
6. Medical and Health
7. Institutional Services
8. Operations and Skilled Trades
9. Engineering and Architecture
10. Natural Resources and Scientific.

Employees in Non-Graded Classifications 2010 through 2012 (Agency)


## UNIVERSITY:

The university system has fully implemented a Career-Banded compensation system. Because of full implementation, the demographic distribution mirrors that of the overall representation figures for the university system.

Competency Levels: In order to provide a more detailed analysis of the salary structure in the university system, the next table provides competency levels by demographic group. Each banded classification is divided into three competency levels (contributing, journey and advanced). Each competency level is associated with a minimum and maximum rate of compensation.

## Competency Levels by Demographic Group (University)

|  | White <br> Males | White <br> Females | Black <br> Males | Black <br> Females | Other <br> Minority <br> Males | Other <br> Minority <br> Females | Eth. <br> Uk. | Total |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Advanced Level | 1,595 | 2,047 | 322 | 674 | 127 | 154 | 60 | 4,979 |
| Percentage | 32.0 | 41.1 | 6.5 | 13.5 | 2.5 | 3.2 | 1.2 |  |
| Avg. Market Index* | 95 | 96 | 91 | 93 | 94 | 90 | 95 | 95 |
|  |  |  |  |  |  |  |  |  |
| Journey Level | 3,366 | 4,316 | 856 | 1,525 | 267 | 409 | 188 | 10,927 |
| Percentage | 30.8 | 39.5 | 7.8 | 14.0 | 2.5 | 3.7 | 1.7 |  |
| Avg. Market Index | 97 | 98 | 95 | 98 | 95 | 96 | 95 | 97 |
|  |  |  |  |  |  |  |  |  |
| Contributing Level | 1,407 | 1,897 | 710 | 1,117 | 216 | 378 | 204 | 5,929 |
| Percentage | 23.7 | 32.0 | 12.0 | 18.8 | 3.6 | 6.3 | 3.4 |  |
| Avg. Market Index | 104 | 105 | 101 | 104 | 100 | 100 | 107 | 104 |
|  |  |  |  |  |  |  |  |  |
| Total | 6,368 | 8,260 | 1,888 | 3,316 | 610 | 941 | 452 | 21,835 |
| Percentage | 29.2 | 37.8 | 8.6 | 15.2 | 2.8 | 4.3 | 2.1 |  |
| Avg. Market Index | 98 | 99 | 97 | 99 | 97 | 97 | 101 | 98 |

Average Market Index = relationship of pay to the established market rate.

Average Market Index: At the advanced competency level, while females had the highest market index, while black males and other minority females had the lowest market index. At the journey competency level, white females and black females had the highest average market index, although all the market indexes were plus/minus 3\% from one another. At the contributing competency level, all demographic groups maintained an average market index at 100 or above, with the highest market index awarded to employees described as "ethnicity unknown".

Representation by Competency Level: White female employees had the highest level of representation at the advanced, journey and contributing competency levels, and they correspondingly make up the largest percentage of the overall university workforce. When compared to representation levels, white male and white female employees comprised a larger percentage of the advanced and journey competencies levels than their total overall representation levels in the workforce. Comparatively, black male and black female employees had a higher level of representation in the contributing competency level than their total overall representation levels in the workforce.

Competency Level by Demographic Group (University)


## 4. Disciplinary Actions:

In calendar year 2012, there were 3,483 disciplinary actions issued to agency and university employees. In 2011, there were 4,023 disciplinary actions issued. This represents a decrease of 540 actions. The largest number of disciplinary actions, 1,196 (34\%) were issued to White males although they constitute $36.1 \%$ of the state's workforce. Black females received 725 disciplinary actions or $20 \%$ of the total while representing only $17.9 \%$ of the state's workforce. Black males received 850 disciplinary actions or $24 \%$ of the total although their presence in the workforce is only 11.4\%. White females received 597 or $17 \%$ of all disciplinary actions although their workforce representation is $29 \%$. Other minority males and other minority females were given 115 disciplinary actions or $3 \%$ of the total; their workforce representation is $4.8 \%$. Of all the disciplinary actions issued, 2,847 or $82 \%$ occurred in State agencies, and 636 actions, or $18 \%$ occurred in State universities. In 2011, the data indicated that 3,431 or $85.3 \%$ of disciplinary actions occurred in State agencies, and 592 actions, or $14.7 \%$ occurred in State universities.

Total Disciplinary Actions by Agency/University and Race/Sex

| Discipline | White <br> Males | White <br> Females | Black <br> Males | Black <br> Females | Other <br> Minority <br> Males | Other <br> Minority <br> Females | TOTAL |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | 1,031 | 463 | 695 | 569 | $58^{*}$ | $31^{*}$ | 2,847 |
| University | 165 | $16.2 \%$ | $\mathbf{2 4 . 4 \%}$ | $\mathbf{1 9 . 9 \%}$ | $\mathbf{2 . 0 \%}$ | $\mathbf{1 . 1 \%}$ |  |
| TOTAL | $\mathbf{2 5 . 9 \%}$ | $\mathbf{2 1 . 1 \%}$ | 155 | 156 | $15^{*}$ | $11^{*}$ | 636 |
|  | $\mathbf{3 4 . 1 9 6}$ | 597 | 850 | $\mathbf{2 4 . 5 \%}$ | $\mathbf{2 . 4 \%}$ | $\mathbf{1 . 7 \%}$ |  |
| Previous | 1,218 | $\mathbf{1 7 . 1 \%}$ | $\mathbf{2 4 . 4 \%}$ | $\mathbf{7 2 5}$ | 73 | 42 | 3,483 |
| Total - 2011 | $\mathbf{3 0 . 3 \%}$ | $\mathbf{1 8 . 2 \%}$ | $\mathbf{9 3 0}$ | $\mathbf{2 3 . 1 \%}$ | $\mathbf{2 4 . 8 \%}$ | $\mathbf{2 . 1 \%}$ | $\mathbf{1 . 2 \%}$ |

* Other minority males and females include Hispanic, Asian, and American Indian employees.

The table below presents the breakdown of the Other Minority category.

| Discipline | Hispanic <br> Males | Hispanic <br> Females | Asian <br> Males | Asian <br> Females | American <br> Indian <br> Males | American <br> Indian <br> Females | TOTAL |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | 26 | 12 | 14 | 10 | 18 | 9 | 89 |
| University | $29.2 \%$ | $13.5 \%$ | $15.7 \%$ | $11.2 \%$ | $2.0 \%$ | $1.0 \%$ |  |
| TOTAL | $7.7 \%$ | 6 | 7 | 3 | 6 | 2 | 26 |
|  | 28 | $2.3 \%$ | $2.7 \%$ | $1.2 \%$ | $2.3 \%$ | $7.7 \%$ |  |
|  | $24.3 \%$ | $15.6 \%$ | $18.3 \%$ | $11.3 \%$ | $20.1 \%$ | $9.6 \%$ | 115 |

NOTE: Rounding may cause totals +/-100\%.

## Five-Year Disciplinary Action Trend

The five-year disciplinary action trend indicates a peak of 4023 disciplinary actions in 2011, decreasing to 3483 in 2012, consistent with actions in 2008-2010. The trend is illustrated in the chart below:

Disciplinary Actions - 2008 through 2012


In 2012, 2791 ( $80 \%$ ) of disciplinary actions were written warnings and 470 (13\%) of the actions were dismissals. There were 28 demotions (1\%) and 185 suspensions without pay ( $5 \%$ ). Of the employees who were dismissed, 151 ( $32.1 \%$ ) were white males. Black males constituted 134 dismissals or $28.5 \%$, while black females received the next highest number of dismissals at 101 or $21.4 \%$.

| $\frac{\text { Disciplinary }}{\frac{\text { Action By }}{\text { Type }}}$ | Race Sex Group |  |  |  |  |  | TOTAL |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | White Males | White Females | Black <br> Males | Black Females | Other Minority Males | Other <br> Minority <br> Females |  |
| Warning | $\begin{gathered} 940 \\ 33.7 \% \end{gathered}$ | $\begin{gathered} 494 \\ \mathbf{1 7 . 7 \%} \end{gathered}$ | $\begin{gathered} 664 \\ 23.8 \% \end{gathered}$ | $\begin{gathered} 596 \\ 21.4 \% \end{gathered}$ | $\begin{gathered} 62 \\ \mathbf{2 . 2 \%} \\ \hline \end{gathered}$ | $\begin{gathered} 35 \\ 1.3 \% \\ \hline \end{gathered}$ | $\begin{gathered} \hline 2,791 \\ 100.0 \% \\ \hline \end{gathered}$ |
| Demotion | $\begin{gathered} 13 \\ 46.4 \% \end{gathered}$ | $\begin{gathered} 4 \\ 14.3 \% \end{gathered}$ | $\begin{gathered} 7 \\ 25.0 \% \end{gathered}$ | $\begin{gathered} 4 \\ 14.3 \% \end{gathered}$ | $\begin{gathered} 0 \\ 0 \% \end{gathered}$ | $\begin{gathered} 0 \\ 0 \% \end{gathered}$ | $\begin{gathered} 28 \\ 100.0 \% \end{gathered}$ |
| Dismissal | $\begin{gathered} \hline 151 \\ \mathbf{3 2 . 1 \%} \end{gathered}$ | $\begin{gathered} 71 \\ 15.1 \% \end{gathered}$ | $\begin{gathered} 134 \\ 28.5 \% \end{gathered}$ | $\begin{gathered} 101 \\ 21.5 \% \end{gathered}$ | $\begin{gathered} \hline 8 \\ 1.7 \% \end{gathered}$ | $\begin{gathered} 5 \\ 1.1 \% \end{gathered}$ | $\begin{gathered} 470 \\ 100.0 \% \end{gathered}$ |
| Suspension | $\begin{gathered} 84 \\ 45.4 \% \\ \hline \end{gathered}$ | $\begin{gathered} 28 \\ 15.1 \% \end{gathered}$ | $\begin{gathered} 44 \\ 23.8 \% \\ \hline \end{gathered}$ | $\begin{gathered} \hline 24 \\ 13.0 \% \\ \hline \end{gathered}$ | $\begin{gathered} \hline 3 \\ 1.6 \% \\ \hline \end{gathered}$ | $\begin{gathered} \hline 2 \\ 1.1 \% \\ \hline \end{gathered}$ | $\begin{gathered} \hline 185 \\ 100 \% \end{gathered}$ |
| Warning Not Assigned | $\begin{gathered} 8 \\ 88.8 \% \\ \hline \end{gathered}$ | - | $\begin{gathered} 1 \\ 11.1 \% \end{gathered}$ | - | - | - | 9 |
| TOTAL | $\begin{aligned} & \hline \text { 1,196 } \\ & \mathbf{3 4 . 3 \%} \end{aligned}$ | $\begin{gathered} 597 \\ \mathbf{1 7 . 1 \%} \end{gathered}$ | $\begin{gathered} \hline 850 \\ 24.4 \% \end{gathered}$ | $\begin{gathered} 725 \\ 20.8 \% \end{gathered}$ | $\begin{gathered} 73 \\ \mathbf{2 . 1 \%} \end{gathered}$ | $\begin{gathered} \hline 42 \\ 1.2 \% \end{gathered}$ | $\begin{gathered} 3,483 \\ 100.0 \% \end{gathered}$ |

Disciplinary Actions by Demographic Group - 2012


## 5. Grievances:

State government employees (agency and university) filed a total of 455 grievances during calendar year 2012, ( 311 less grievances than in 2011). State agencies reported that employees filed 328 grievances during calendar year 2012, representing $72 \%$ of the total grievances filed.

Universities reported that employees filed 127 grievances in 2012, representing 28\% of the total number of grievances filed.

|  | White <br> Males | White <br> Females | Black <br> Males | Black <br> Females | Other <br> Minority <br> Males* | Other <br> Minority <br> Females* | Un- <br> known | TOTAL |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | 91 <br> $\mathbf{2 7 . 7 \%}$ | 61 <br> $\mathbf{1 8 . 5 \%}$ | 83 <br> $\mathbf{2 5 . 3} \%$ | 79 <br> $\mathbf{2 4 \%}$ | 6 <br> $\mathbf{1 . 8 \%}$ | 8 <br> $\mathbf{2 . 4 \%}$ |  | 328 |
| University | $\mathbf{2 5}$ | 29 | 22 | 34 | 6 | 11 |  | 127 |
|  | $\mathbf{1 9 . 7 \%}$ | $\mathbf{2 2 . 8 \%}$ | $\mathbf{1 7 . 3 \%}$ | $\mathbf{2 6 . 8 \%}$ | $\mathbf{4 . 7 \%}$ | $\mathbf{8 . 7 \%}$ |  |  |
| TOTAL | $\mathbf{1 1 6}$ | 90 <br> $\mathbf{2 5 . 4 \%}$ | $\mathbf{1 9 . 8 \%}$ | $\mathbf{2 3 . 1 \%}$ | $\mathbf{2 4 . 8 \%}$ | $\mathbf{2 . 6 \%}$ | $\mathbf{4 . 2 \%}$ |  |

Other minority males and females included the following:

|  | Hispanic <br> Males | Hispanic <br> Females | Asian <br> Males | Asian <br> Females | American <br> Indian <br> Males | American <br> Indian <br> Females | TOTAL |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Agency | 3 | 2 | 1 | 2 | 2 | 4 | 14 |
|  | $21.4 \%$ | $14.3 \%$ | $\mathbf{7 . 1 \%}$ | $\mathbf{1 4 . 3 \%}$ | $\mathbf{1 4 . 3 \%}$ | $\mathbf{2 8 . 6 \%}$ |  |
| University | 0 | 5 | 6 | 6 | 0 | 0 | 17 |
|  | $0 \%$ | $29.4 \%$ | $\mathbf{3 5 . 3 \%}$ | $\mathbf{3 5 . 3 \%}$ | $\mathbf{0 \%}$ | $\mathbf{0 \%}$ |  |
| TOTAL | 3 | 7 | 7 | 8 | 2 | 4 | 31 |
|  | $9.7 \%$ | $22.6 \%$ | $22.6 \%$ | $\mathbf{2 5 . 8 \%}$ | $\mathbf{6 . 4 \%}$ | $\mathbf{1 2 . 9 \%}$ |  |

## Five-Year Grievance Trend

The five-year grievance trend indicates that grievances experienced a steady increase from 2008 through 2011, but dropped sharply in 2012 (more consistent with grievances reported in 2009). The trend is illustrated in the chart below:


## Grievances by Type

In 2012, grievances were filed in three major areas: response to a disciplinary action, allegation of illegal discrimination, or claim of a policy violation. The chart below reports the grievances by type.


## Settlements

In calendar year 2012, there were a total of 44 settlements entered into by agencies and universities as a result of grievances and contested cases filed by employees and former employees. The total cost reported for the 44 settlements was $\$ 1,127,805.01$ or an average of $\$ 25,631.93$ per settlement. The total is an increase of $\$ 32,242.29$ over 2011.

Of the total amount, $\$ 1,047,296.26$ was reported as back pay and $\$ 80,508.75$ was reported as attorneys' fees.

## Agencies

In calendar year 2012, State agencies entered into 41 settlements of grievances and/or contested cases filed by employees. The total cost of settlements from State agencies was $\$ 1,110,244.18$ or an average of $\$ 27,079.13$ per settlement.

## Universities

State universities reported three settlements totaling \$17,560.83 or an average of $\$ 5,853.61$ per settlement.

## 6. Equivalencies:

An equivalency is defined as any deviation from the required minimum training and experience, not including substitution of directly related education for experience, or directly related experience for education as stated on the class specification. For calendar year 2012, there were no instances that an agency or university used an equivalency.

## 7. Demographic Analysis:

This report reviews personnel functions in several areas. This section of the executive summary charts the percentage of new hires, disciplinary actions and grievances against the overall level of workforce representation in each demographic group in 2000 and 2012. Please note that other minority males and females are not included in this analysis due to their low overall representation rates (less than 2\%). Significant trends related to other minority males and females are included in each previous section of the report.

White Males - White males consisted of 34\% of all disciplinary actions, an increase from the year 2000, and the highest level of any demographic group. White male employees filed $25 \%$ of all grievances in 2012, a rate less than their overall representation in the workforce, but the highest rate of any demographic group (equals black females). The overall representation level of white males decreased slightly, from $37 \%$ to $36 \%$, and new hire activity was also below the level in 2000.

2000 versus 2012 (white males)


White Females - In 2012, white female employees had levels of representation, new hires, and grievances which were lower than those rates in 2000. White female employees consisted of $29 \%$ of the workforce and $28 \%$ of the new hires during 2012, while filing $20 \%$ of all grievances, the lowest rate of any of the four evaluated demographic groups. White female employees represented $17 \%$ of all employees receiving a disciplinary action in 2012, up slightly from the 2000 rate of $16 \%$.

## 2000 versus 2012 (white females)



Black Males - Black male employees consisted of $11 \%$ of the representation and $11 \%$ new hires during 2012. Black male employees received $24 \%$ of all disciplinary actions during 2012, a reduction from $30 \%$ during 2000. Also during 2012, black male employees filed $23 \%$ of all grievances, a rate that increased from $20 \%$ in 2000.


Black Females - Black female employees represented 18\% of the workforce representation in 2012, up slightly from 2000. They accounted for $20 \%$ of all new hires, a rate comparable to that in 2000. Their level of discipline and grievance activity exceeded their workforce representation. In 2012, black females received 20\% of all disciplinary action and filed $25 \%$ of all grievances, the highest percentage of grievances filed by any demographic group (equals white males).

2000 versus 2012 (black females)


